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TITLE: Pathomechanics of Post-Traumatic OA Development in the Military Following Articular Fracture

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13. SUPPLEMENTARY NOTES

14. ABSTRACT

The objective of the proposed research is to develop new models for predicting the risk of post-traumatic osteoarthritis (PTOA) following intra-articular fracture (IAF). Aim 1, pursued this year, involved evaluating pre- and post-treatment CT data from patients with combat-related IAFs to measure fracture severity and post-reduction contact stress exposure. This study is being conducted in collaboration with the University of Iowa (PI: Donald Anderson, PhD) who is conducting these calculations on patients identified at the U.S. Army Institute of Surgical Research. IRB and HRPO approval has been obtained at both sites. Ongoing screening of potential subjects identified through the Department of Defense Trauma Registry has resulted in 64 fractures transferred and studied by the U of Iowa.

Our partners at the U of Iowa continue to work on related efforts in civilian trauma patients to refine the measuring techniques. The military subjects pose some unique challenges in terms of injury severity but we remain confident that our collaborator's skill in imaging analysis will remain successful as we study our military patients.

| 15. SUBJECT TERM | S: Post-osteoar | thritis, CT-ba | ased analysis, | intra-art | icular fractures |
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Introduction:

The objective of this research is to develop new models for predicting the risk of post- traumatic osteoarthritis (PTOA) following intra-articular fracture (IAF). Our collaborators at the University of Iowa previously developed capabilities to predict PTOA risk from acute fracture severity (measured from pre-op CT) and chronic elevated contact stress (post-op CT) associated with IAFs, but more patient data are needed to make the risk models clinically useful. Prospective studies of PTOA development following IAFs face many challenges. Severe IAFs are not frequently seen in civilian practice, making it difficult to accrue sufficient numbers for clinical study. An added challenge is that in order to determine if a patient develops PTOA, they may need to be followed for years into the future, threatening subject retention. One of the attractive features of the CT-based measures of mechanical factors pioneered by the Initiating PI (Anderson) is that retrospective studies can include patients who were injured years in the past. Recent military conflicts, which unfortunately produced a substantial number of severe fractures, including IAFs, provide a unique opportunity to overcome these challenges and to honor the military personnel who sustained combat-related IAFs. Given their prevalence and severity, and the degree to which these injuries impact long- term function of injured service members, better methods to predict PTOA risk would benefit our current generation of new veterans, as well as future service members at risk for IAF.

Keywords:

Post-traumatic osteoarthritis, CT analysis, intra-articular fractures

Accomplishments:

What were the major goals of the project?

The Statement of Work includes 6 major tasks over 3 Specific Aims:

| Specific Aim 1: Evaluate pre- and post-treatment CT data from patients with combat-relate | ed IAFs to |
|---|------------|
| measure fracture severity and post-reduction contact stress exposure | |
| Major Task 1: Regulatory Approval | Months |
| Subtask 1.1: Obtain local IRB | 1-3 |
| Subtask 1.2: Obtain HRPO approval | 4-6 |
| Milestone #1: Regulatory approval received | 5-6 |
| Major Task 2: Adapt CT Analysis Methods | Months |
| Subtask 2.1: Obtain representative CT studies | 3 |
| Subtask 2.2: Trial analysis methods with CT studies | 1-3 |
| Subtask 2.3: Modify analysis methods as needed | 3-9 |
| Milestone #2: Co-author manuscript on methods to analyze combat-related IAFs | 9-12 |
| Major Task 3: Subject Identification | Months |
| Subtask 3.1: Obtain potential subject list with demographic and injury data from DoDTR | 7 |
| Subtask 3.2: Screen available CT scans for requisite images for inclusion | 8-12 |
| Milestone #3: Subject list finalized | 12 |
| Major Task 4: CT Calculations | Months |
| Subtask 4.1: De-identified CDs compiled and express mailed from Site 2 to Site 1 | 9-13 |
| Subtask 4.2: CT calculations for injury severity and post-reduction contact stresses | 10-18 |
| Milestone #4: Co-author manuscript on fracture severity and post-reduction contact stress measures in patients with combat-related IAFs | 18-24 |

| Specific Aim 2: Measure the occurrence of PTOA up to ten years following fracture reduced to the specific Aim 2: Measure the occurrence of PTOA up to ten years following fracture reduced to the specific Aim 2: Measure the occurrence of PTOA up to ten years following fracture reduced to the specific Aim 2: Measure the occurrence of PTOA up to ten years following fracture reduced to the specific Aim 2: Measure the occurrence of PTOA up to ten years following fracture reduced to the specific Aim 2: Measure the occurrence of PTOA up to ten years following fracture reduced to the specific Aim 2: Measure the occurrence of PTOA up to ten years following fracture reduced to the specific Aim 2: Measure the occurrence of PTOA up to ten years following fracture reduced to the specific Aim 2: Measure the occurrence of PTOA up to ten years following fracture reduced to the specific Aim 2: Measure the occurrence of PTOA up to ten years following fracture reduced to the specific Aim 2: Measure the occurrence of PTOA up to ten years following fracture reduced to the specific Aim 2: Measure the occurrence of PTOA up to ten years following fracture reduced to the occurrence of PTOA up to the occurrence of PTOA up to ten years following fracture reduced to the occurrence of PTOA up to the o | ction surgery |
|--|---------------|
| Major Task 5: PTOA radiographic frequency | Months |
| Subtask 5.1: Identify radiographs for KL grading; multiple investigators do KL grading | 9-14 |
| Milestone #5: Co-author paper detailing PTOA incidence and grading for patients with combat-related IAFs | 16-20 |

| Specific Aim 3: Quantify the extent to which fracture severity and post-reduction conta PTOA | ct stress predict |
|--|-------------------|
| Major Task 6: PTOA symptoms and quality of life | Months |
| Subtask 6.1: Identify subjects' contact information through DoD and/or VA sources | 12-16 |
| Subtask 6.2: Conduct prospective contacting of subjects for outcomes questionnaires | 12-28 |
| Milestone #6: Co-author manuscript detailing symptoms and treatment timelines for patients with combat-related IAFs | 25-32 |
| Subtask 6.3: Correlate CT-based analysis results with KL grade/PTOA status. questionnaire outcomes, and various radiographic results | 28-32 |
| Milestone #7: Co-author manuscript detailing relationships between CT-based results and PTOA outcomes – PTOA risk model | 32-36 |

What was accomplished under these goals?

Major Task 1 is completed (USAISR Protocol Number H-15-022, HRPO Log Number A-18857, IRB Protocol Number M-10466) as of October 2015.

Major Task 2 is underway. Our collaborators at the University of Iowa continue to refine the CT analysis methodology and have successfully disseminated their results in this work on civilian trauma subjects via two accepted manuscripts and one submitted abstract. This work will support the methods paper for the unique challenges posed by the CT analysis of military subjects.

Major Task 3 is underway. After receipt of the potential subject list by way of Department of Defense Trauma Registry (DoDTR) request, we immediately began screening subjects for inclusion and exclusion criteria. A total of 57 subjects with 64 fractures have been identified for inclusion and are considered "enrolled." Additional subjects continue to be screened.

Major Task 4 is underway. CDs containing CT imaging from enrolled subjects are being sent to U of Iowa once they are checked and confirmed to be de-identified. As the CT cases are received there, Dr. Anderson's lab is performing calculations of injury severity and post reduction contact stress.

Major Task 5 is underway at our local site. As subjects are screened in, we are abstracting the DoD electronic medical record to examine the care received for the injured joint for as long as the subjects are seen within the DoD health care system.

Major Task 6 initial steps are currently being planned.

What opportunities for training and professional development has the project provided? Nothing to report.

How were the results disseminated to communities of interest?

The results of the first 15 subjects analyzed was presented at the Limb Lengthening and Reconstruction Society annual meeting (July 2017, Park City, UT) and the Military Health System Research Symposium (August 2017, Orlando, FL).

What do you plan to do during the next reporting period to accomplish the goals?

We will continue screening subjects and including those who meet study criteria. This will result in additional subjects' CT images being transferred to Dr. Anderson's lab for analysis. We will also continue data abstraction for Major Task 5 in the DoD electronic medical record.

Impact

What was the impact on the development of the principal discipline(s) of the project?

Nothing to report; however, the end result of this project will contribute to discipline of PTOA prediction based on CT metrics.

What was the impact on other disciplines?

Nothing to report.

What was the impact on technology transfer?

Nothing to report.

What was the impact on society beyond science and technology?

Nothing to report.

Changes/Problems

Changes in approach and reasons for change

Nothing to report.

Actual or anticipated problems or delays and actions or plans to resolve them

Nothing to report.

Changes that had a significant impact on expenditures

Nothing to report.

Significant changes in use or care of human subjects, vertebrate animals, biohazards, and/or select agents Not applicable.

Products:

Publications, conference papers, and presentations

Podium presentation: Limb Lengthening and Reconstruction Society annual meeting (July 2017, Park City, UT)

Podium presentation: Military Health System Research Symposium (August 2017, Orlando, FL)

Website(s) or other Internet site(s)

Nothing to report.

Technologies or techniques

Nothing to report from this site directly from these data. The CR analysis techniques previously described by Dr. Anderson will face specific required modifications as they are applied to military subjects due to the severity of the injury and multiple fractures typical of combat injuries.

Inventions, patent applications, and/or licenses

Nothing to report.

Other Products

Nothing to report.

Participants & Other Collaborating Organizations

What individuals have worked on the project?

Name: Jessica Rivera

Project Role: PI Nearest person month worked: 0.60 Contribution to Project: MAJ Rivera serves as the site study PI on this research project for the study's

partnering PI option. She will provide the necessary programmatic leadership, administrative oversight and support for all aspects of the proposed work to be conducted at ISR/SAMMC site, ensuring that personnel and departmental resources are properly aligned to achieve the goals of this study. She meets with the study personnel and communicates with the partnering site on a regular basis to review planning and execution of the proposed project. Finally MAJ Rivera will be responsible for the preparation of technical reports, manuscripts, and other dissemination materials generated by this study.

Name: Allyson Corona Project Role: Research Coordinator

Nearest person month worked: 6

Contribution to Project: Ms. Corona is responsible for the day-to-day operations of the study. She will be

responsible for assisting compilation of radiographic images and chart abstraction. She will also assist with the preparation of all study related correspondence and technical reports, maintain research files and data, procure study supplies and coordinate all procurement requests through The Geneva Foundation, and ensure

budgetary adherence.

Has there been a change in the active other support of the PD/PI(s) or senior/key personnel since the last reporting period?

Nothing to report.

What other organizations were involved as partners?

Nothing to report.

Special Reporting Requirements

COLLABORATIVE AWARDS: The Collaborating PI at U of Iowa (Dr. Donald Anderson) is submitting a separate progress report for that site.

Appendices

Quad chart, updated 20OCT2016



Pathomechanics of Post-Traumatic OA Development in the Military Following Articular Fracture



PI: Jessica Rivera, M.D.

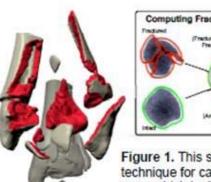
W81XWH-15-2-0088 Task Area: ETRM

Study/Product Aim(s)

Aim 1. Determine the proportion and timing of PTOA radiographic development in lower extremity IAFs following combat injury
Aim 2. Evaluate pre- and post-treatment plain radiography and computed tomography (CT) data from IAF fracture patients to measure fracture severity and post-reduction contact stress.
Aim 3. Measure the occurrence of PTOA up to ten years following fracture reduction surgery, and quantify the extent to which fracture severity and post-reduction contact stress predict PTOA.

Approach

Subjects identified by the DoDTR with lower extremity intraarticular fracture will be abstracted for the outcomes of PTOA development clinically and radiographically. Pre fixation and post fixation CT images will be shared with U of lowa for fracture energy and contact stress calculations. These calculations will be tested for association with PTOA outcome.



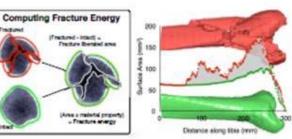


Figure 1. This schematic illustrates the CT-based technique for calculating the fracture liberated surface area, which is directly related to the energy involved in causing the fracture.

Timeline and Cost

| IRB approval | Г | | | |
|---------------------------------|-------------|--------|---------|-------|
| Data Collection (local) | | | | |
| Data Compilation (collaborator) | | | | |
| Analysis and Dissemination | | | | |
| Proposed Budget/Year | \$50 | \$64 | \$64 | \$63 |
| Expended Budget/Year | \$7 | \$20.6 | \$106 | \$106 |
| Completed | In Progress | 100 | Pending | |

Goals/Milestones

axial impact

CY16 Goal - Regulatory and Start up

- IRB and HRPO approval
- Hiring actions and CRADA with U of Iowa
- Begin protocol

CY17 Goals - Data Collection/Sharing

- Continue local data collection
- Compile CT metrics data from collaborative site (U of lowa)

Award Amount: \$240K

CY18 Goal -

- □ Complete local data collection
- □ Continue analyses to ascertain statistical power and to identify salient pathological outcomes

Comments/Challenges/Issues/Concerns

None

Budget Expenditure to Date

Total Proposed Expenditure: \$240K Total Actual Expenditure: \$93.8K

Updated: (October 20, 2017)